



Generation of defects on oxide supports by doping with metals and their role in oxygen activation

Mercedes Boronat*, Avelino Corma

Instituto de Tecnología Química (UPV-CSIC), Universidad Politécnica de Valencia, Consejo Superior de Investigaciones Científicas, Avenida de los Naranjos s/n, 46022 Valencia, Spain

ARTICLE INFO

Article history:

Available online 13 November 2010

Keywords:

DFT
Doped oxides
Catalysis
O₂ activation
Gold
Iron

ABSTRACT

Doping of anatase TiO₂ with Au and Fe has been investigated using DFT methods. The geometry distortion, charge and spin density distribution in the lattice and oxygen vacancy formation energies calculated for the Au- and Fe-doped materials are compared with those of undoped titania, and the influence of the dopant atom on the way of interaction and activation of molecular O₂ on oxygen vacancy defects in reduced surfaces is analyzed in detail. An enhanced oxidation activity is expected for Fe-doped TiO₂.

© 2010 Elsevier B.V. All rights reserved.

1. Introduction

Gold nanoparticles supported on transition metal oxides are highly active catalysts in a number of oxidation reactions, the most widely studied being the oxidation of CO at low temperatures [1–9]. The research effort devoted to understand the reasons for the strong influence of the method of preparation and pretreatment conditions on the activity of supported gold catalysts has revealed the role of gold coordination and oxidation state, particle size and shape, and charge transfer between gold and the support. The higher oxidation activity of gold nanoparticles supported on reducible oxides such as TiO₂, Fe₂O₃, or CeO₂ has been remarked [7–13], and a key role of the support for the stabilization of small clusters or cationic gold species, the activation of molecular O₂ and the direct participation of oxygen atoms of the oxide surface in the reaction mechanism have been proposed [13–16]. In particular, the excellent oxidation activity of Au/CeO₂ catalysts has been related to the facility to form oxygen vacancy defects on ceria surfaces, which arises from the ability of cerium to change oxidation state from Ce⁴⁺ to Ce³⁺ [17]. In this sense, the enhanced activity for CO oxidation of catalysts consisting of gold nanoclusters supported on nanocrystalline ceria as compared to conventionally prepared Au/CeO₂ catalysts has been attributed to the higher concentration of Ce³⁺ in small CeO₂ nanoparticles [10,11,18,19]. If a Mars-van Krevelen mechanism is accepted for CO oxidation on

Au/CeO₂, then CO₂ is formed when CO takes a oxygen atom from the oxide surface creating a oxygen vacancy defect, and therefore the oxidation activity of a catalyst should be inversely related to the oxygen vacancy energy formation. Recent theoretical [20,21] and spectroscopic [22] studies have shown that nanoparticulated ceria is able to stabilize small neutral gold clusters and also to incorporate cationic Au species into the lattice, and that this doping process reduces the oxygen vacancy energy formation. Moreover, the complete catalytic cycle for CO oxidation on Au/CeO₂ has been calculated using density functional theory, and it has been shown that substitutional Au³⁺ cations dispersed in the ceria lattice are able to catalyze the oxidation of CO with O₂ and close the cycle without catalyst deactivation [23]. The same principle of promoting the oxidation activity of cerium oxide by doping with gold has been applied to other metals such as Ti, Zr, Hf, Pt or Pd, whose presence weakens the metal–oxygen bonds around the dopant decreasing the energy necessary to form a oxygen vacancy defect [24–27]. A similar strategy has been employed in the case of titanium dioxide [28,29], which is widely used as support for gold catalysts but is less reducible than ceria. Theoretical studies indicate that doping of rutile TiO₂(1 1 0) surface with Au, Ag, Cu, Ni, Pd or Pt reduces the bond of surface oxygen to titania, making it a better oxidation catalyst [30]. Addition of Fe to TiO₂ increases the oxidation activity of Au/TiO₂ catalysts by a factor of two, and it has been demonstrated by theory [31] and experiment [32,33] that the effect of Fe-doping is to facilitate the formation of oxygen vacancy defects on the TiO₂ surface. In this paper, we went one step further and investigated the possibility of anatase TiO₂ doping with Au. Although the presence of Au³⁺ species in Au/TiO₂ catalysts has already been proposed on the basis of CO adsorption experiments [34,35], their exact nature

* Corresponding author.

E-mail addresses: boronat@itq.upv.es (M. Boronat), acorma@itq.upv.es (A. Corma).

and role in the mechanism of CO oxidation by gold supported on TiO₂ have not been unequivocally demonstrated yet.

In this work we have investigated, from a theoretical point of view, the substitution of one Ti atom in the (001) surface of anatase TiO₂ with an Au atom, and have compared the results with those previously obtained for Fe-doping. The geometry distortion, charge distribution in the lattice, and oxygen vacancy formation energy calculated for the Au-doped material are described, and the influence of Au and Fe-doping on the way of interaction and activation of molecular O₂ on oxygen vacancy defects in reduced TiO₂ surfaces is analyzed in detail.

2. Theoretical basis

The (001) surface of anatase TiO₂ was modelled by means of a 3 × 3 supercell slab containing 12 atomic layers, that is, 36 Ti atoms and 72 O atoms, separated by a vacuum width of ~21 Å. The Fe-doped and Au-doped surface models were created by substituting one five-coordinated Ti atom at the surface by one Fe or one Au atom, respectively. A model for a reduced TiO₂ surface (r-TiO₂) containing one oxygen vacancy defect was created by removing one oxygen atom from the stoichiometric TiO₂ surface. In a similar way, reduced r-Fe-TiO₂ and r-Au-TiO₂ surface models were created by removing one oxygen atom from the respective Fe-TiO₂ and Au-TiO₂ stoichiometric surface models. In all calculations, the coordinates of the atoms in the three uppermost atomic layers were fully relaxed, while the rest of the atoms were kept fixed as in the bulk. In a second step, molecular O₂ was adsorbed on the three reduced surfaces containing a oxygen vacancy defect, and the coordinates of the adsorbate molecule and of the atoms in the three uppermost atomic layers were again fully relaxed. Harmonic ν_{OO} vibrational frequencies were calculated by diagonalizing the block Hessian matrix corresponding to displacements of the two O atoms of molecular O₂ and the Ti, Fe and Au atoms directly interacting with these two O atoms.

Calculations are based on density functional theory (DFT) and were performed using the Perdew–Wang (PW91) exchange–correlation functional within the generalized gradient approach (GGA) [36,37]. The valence density was expanded in a plane wave basis set with a kinetic energy cutoff of 415 eV, and the effect of the core electrons in the valence density was taken into account by means of the projected augmented wave (PAW) formalism [38] as implemented in the VASP code [39,40]. Integration in the reciprocal space was carried out at the Γ k-point of the Brillouin zone. The atomic positions were optimized by means of a conjugate-

gradient algorithm until atomic forces were smaller than 0.01 eV/Å. Since creation of a oxygen vacancy defect in TiO₂ leaves two electrons localized in adjacent Ti atoms, and because the introduction of a Au or Fe atom in the lattice leads to unpaired electrons, spin polarization effects were always taken into account. For each system, states with different number of unpaired electrons $N_{\alpha}-N_{\beta}$ were considered, and the optimized geometries, charge distributions and interaction energies obtained for each $N_{\alpha}-N_{\beta}$ fixed value are compared and discussed. Charge distributions were estimated using the theory of atoms in molecules (AIM) of Bader employing the algorithm developed by Henkelman et al. [41,42]. It should be mentioned that the electronic properties of transition metal oxides, such as band gap, and the localization of the extra electrons left in the oxide after creation of a oxygen vacancy defect, are not well described at the GGA-DFT level employed in this work [43,44]. The use of hybrid functionals including Hartree–Fock exchange in them or addition of a correlation Hubbard term to GGA, resulting in the so-called GGA+U methods, are the two possible ways of improving the description of these electronic properties. However, it is not clear whether they provide better geometries and total energies. Comparison of our GGA geometries and energies with previously reported GGA+U data [31,45] indicate that the properties we are analyzing do not significantly change with the inclusion of a U term, and validate the theoretical approach employed in this work.

3. Results and discussion

3.1. Stoichiometric and reduced surfaces

In this theoretical study of TiO₂ doping with Au and Fe we have considered the (001) crystal face of anatase. Although this is not the most stable surface, it is the most reactive [46] and therefore it seems interesting to check whether doping can increase its already higher reactivity. The structures of the stoichiometric and reduced M-TiO₂ surfaces considered, with M=Ti, Au, Fe, are depicted in Fig. 1 together with the labelling of the most relevant distances analyzed, and the optimized values of these distances are summarized in Table 1.

3.1.1. Stoichiometric surfaces

Based on previous work [31], we have only considered one spin state for each of the three stoichiometric surfaces: a closed shell solution with all electrons paired for s-TiO₂, a state with one unpaired electron for s-Au-TiO₂, and a high spin state with four

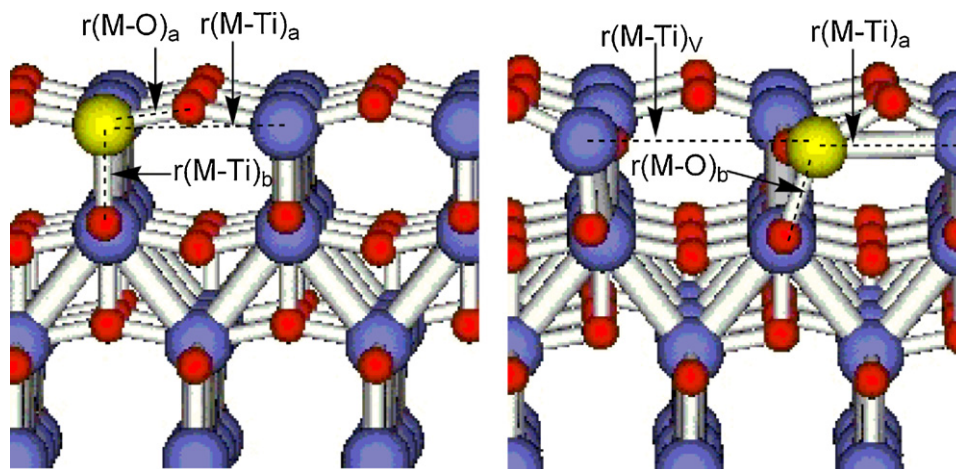


Fig. 1. Optimized structure of stoichiometric (left) and reduced (right) pure and M-doped (001) anatase TiO₂ surfaces with the labelling of the distances summarized in Table 1. Ti atoms are purple, O atoms are red, M atoms (M=Ti, Au, Fe) are yellow. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

Table 1

Optimized values of selected M–Ti and M–O distances (in Å) in stoichiometric (s-) and reduced (r-) TiO₂, Au–TiO₂ and Fe–TiO₂ surfaces, net atomic charges and spin densities on Ti and M atoms, and calculated oxygen vacancy formation energies E_f and substitution energies E_{subs} . M = Ti, Au and Fe. The selected distances are depicted in Fig. 1.

	s-TiO ₂	s-Au–TiO ₂	s-Fe–TiO ₂	r-TiO ₂	r-Au–TiO ₂	r-Fe–TiO ₂
$N_{\alpha} - N_{\beta}$	0	1	4	2	1	4
$r(\text{M–Ti})_{\text{a}}$	3.817	3.875	3.838	3.670	3.646	3.616
$r(\text{M–Ti})_{\text{b}}$	3.041	3.282	3.044	3.017	3.122	3.025
$r(\text{M–Ti})_{\text{v}}$	–	–	–	4.792	5.078	4.931
$r(\text{M–O})_{\text{a}}$	1.957	2.063	1.870	1.899	2.129	1.937
$r(\text{M–O})_{\text{b}}$	1.934	2.639	2.127	1.968	2.220	2.114
q_{M} (e)	2.16	1.30	1.68	2.01	0.92	1.37
q_{Tiav} (e)	2.16	2.15	2.13	2.16	2.15	2.14 (2.08)
μ_{M}	–	0.07	3.02	0.70	0.46	3.55
E_f (eV)	–	–	–	2.70	–0.24	1.24
E_{subs} (eV)	–	13.05	6.61	–	10.11	5.16

unpaired electrons for s-Fe–TiO₂. The GGA optimized geometries of s-TiO₂ and s-Fe–TiO₂ are equivalent to those obtained at the GGA + U level. Substitution of one Ti⁴⁺ by a Fe³⁺ in the oxide lattice does not imply important structural deformations, which is not surprising if we compare the ionic radius of the two cations, 0.68 Å for Ti⁴⁺ and 0.64 Å for Fe³⁺. The optimized Fe–Ti and Ti–Ti distances are similar, and only the Fe–O distances in the direction normal to the surface ($r(\text{M–O})_{\text{b}}$ in Fig. 1 and Table 1) are slightly longer than the corresponding $r(\text{Ti–O})_{\text{b}}$ values. Since the ionic radius of Au³⁺ (0.86 Å) is larger than that of Ti⁴⁺, the structural deformation caused by Au doping is more important than when doping with iron, and is reflected in a protruding of the gold atom above the surface plane. Thus, the Au–Ti and Au–O distances along the z-axis ($r(\text{M–Ti})_{\text{b}}$ and $r(\text{M–O})_{\text{b}}$ in Fig. 1 and Table 1) are 0.241 Å and 0.705 Å larger than the corresponding Ti–Ti and Ti–O values, while the geometrical changes within the surface plane ($r(\text{M–Ti})_{\text{a}}$ and $r(\text{M–O})_{\text{a}}$ in Fig. 1 and Table 1) are considerably smaller. These differences between Au and Fe doping are also reflected in the substitution energies E_{subs} calculated according to:

$$E_{\text{subs}} = \{E(\text{MTi}_{35}\text{O}_{72}) + E(\text{Ti})\} - \{E(\text{Ti}_{36}\text{O}_{72}) + E(\text{M})\}$$

where $E(\text{MTi}_{35}\text{O}_{72})$ is the total energy of the doped surface, $E(\text{Ti}_{36}\text{O}_{72})$ the energy of the undoped surface, and $E(\text{Ti})$ and $E(\text{M})$ are the energies of one isolated Ti or dopant (M = Au, Fe) atoms, respectively. The doping process is endothermic in both cases, but the energy necessary to substitute one Ti atom in the oxide lattice by a Au atom (13.05 eV) is considerably larger than that found for Fe (6.61 eV).

Table 1 reports the calculated atomic charges q_{M} and spin densities μ_{M} on the doping M atom and the averaged values on the other eight five-coordinated Ti atoms of the surface. The net charge on each Ti atom is between 2.13 and 2.16 atoms in all systems, indicating that it exists as Ti⁴⁺ [31]. The calculated atomic charge on the Au atom, 1.30, indicates that it exists as a cationic Au³⁺ species, and the spin density of 0.07 suggests that the unpaired electron is not localized on the Au atom but shared among the O atoms directly bonded to Au. In the case of Fe–TiO₂, the spin densities indicate that three of the four unpaired electrons of the system are localized on the Fe atom, while the other one is shared among the O atoms directly bonded to Fe.

3.1.2. Reduced surfaces

According to the results obtained in our previous study of oxygen vacancy formation in Fe-doped TiO₂ [31], we have considered a state with two unpaired electrons for reduced r-TiO₂, and a high spin state with four unpaired electrons for r-Fe–TiO₂. For the reduced r-Au–TiO₂ surface we explored a high spin solution ($N_{\alpha} - N_{\beta} = 3$) and a low spin solution ($N_{\alpha} - N_{\beta} = 1$) that was found to

be the most stable by 1.80 eV and is therefore the only one discussed next. In all cases, creation of the oxygen vacancy defect causes a considerable lengthening of the distance between the M and Ti atoms that were connected by the missing oxygen atom $r(\text{M–Ti})_{\text{v}}$, from ~3.8 Å to 4.792–5.078 Å. This large distortion is caused by the repulsion between the two extra electrons that are left in the oxide after creation of the vacancy, and which are totally or partially localized on the M and Ti atoms in direct contact with the vacancy. In fact, the degree of localization of these two electrons depends on the theoretical approach employed in the calculations. For the most studied case, that is bulk anatase, a solution with the two electrons clearly localized on the two Ti atoms in direct contact with the vacancy is only obtained at the GGA + U level using a U value equal or larger than 4, or with the hybrid B3LYP method. However, a solution with one electron localized on a Ti atom that is reduced from Ti⁴⁺ to Ti³⁺ and the other electron delocalized is very close in energy to the fully localized solution both at the GGA + U (with U = 3) and B3LYP levels [45]. This means that while the degree of electron localization and therefore the description of the electronic properties of reduced TiO₂ strongly vary with the methodology employed, the energies involved in the formation of defects are quite independent of the method, and therefore these energy values can be more reliably discussed.

In this work, using the GGA approach without any correction, we obtain a semilocalized solution with one electron localized on the Ti atom (labelled M in Fig. 1 and Table 1) and the other one delocalized among the other Ti atoms of the surface. The net charge on this Ti atom decreases from 2.16 to 2.01 e, and the calculated spin density on it is 0.70, indicating that it has been reduced to Ti³⁺. In the Au-doped surface one of the electrons associated to the defect is localized on the Au atom, whose atomic charge decreases from 1.30 to 0.92 e, suggesting a reduction from formal oxidation state Au³⁺ to Au²⁺. According to the calculated spin density, the unpaired electron is on the Au atom, and the Ti atom in contact with the vacancy does not change its oxidation state. Reduction of the Fe-doped surface is similar. The calculated spin density on the Fe atom increases from 3.02 to 3.55, and the atomic charge decreases from 1.68 to 1.37 e, indicating that it is reduced from Fe³⁺ to Fe²⁺. It should be mentioned that the net charge on the Ti atom in contact with the vacancy slightly decreases from 2.13 to 2.08 e. However, such a small variation together with a negligible spin density on this Ti atom seems to confirm that, in doped surfaces, creation of an oxygen vacancy defect does not modify the oxidation state of the Ti atoms.

Finally, the energy necessary to create an oxygen vacancy defect E_f has been calculated as:

$$E_f = E(\text{MTi}_{35}\text{O}_{71}) + (1/2)E(\text{O}_2) - E(\text{MTi}_{35}\text{O}_{72})$$

where $E(\text{MTi}_{35}\text{O}_{71})$ is the total energy of the reduced surface model, $E(\text{MTi}_{35}\text{O}_{72})$ is the total energy of the stoichiometric surface, and $E(\text{O}_2)$ is the total energy of an oxygen molecule in the “triplet” state calculated with the same computational setup used for the oxide surfaces.

The energy necessary to create an oxygen vacancy defect in the (001) surface of anatase–TiO₂ given in Table 1 is 2.70 eV. This value is equivalent to that previously obtained at the GGA + U (U = 4) level using a 3 × 3 supercell (2.73 eV), and quite similar to a value of 2.63 eV, not included in Table 1, that was obtained in this work using the GGA approach and a 4 × 4 supercell. The similarity between these three calculated values validates the methodology and the models employed in the present study to investigate the energies involved in the formation of oxygen vacancy defects on titania surfaces. As already shown by theory [31] and experiment [32], the presence of Fe reduces the oxygen vacancy energy formation to 1.24 eV, and the effect is still more pronounced when doping with

Au, in which case formation of the vacancy is slightly exothermic. The finding that doping with Au results in thermodynamically stable oxygen vacancies had already been reported for the (1 1 0) and (1 0 0) surfaces of ceria [20,21], but to our knowledge it is the first time that this result is reported for titania. It should be mentioned at this point that although formation of an oxygen vacancy defect close to a Au atom is exothermic, the stability of the Au-doped surfaces is low, and it will probably be difficult to obtain TiO₂ crystals doped with gold.

3.2. O₂ adsorption on reduced surfaces

In a second step we investigated the adsorption of molecular oxygen on the three reduced surfaces r-TiO₂, r-Au-TiO₂ and r-Fe-TiO₂ containing one oxygen vacancy defect. As clearly remarked by Metiu [44,47], a key point when studying oxidation reactions involving molecular O₂ is the issue of spin. Molecular O₂ is a triplet, and it is well known that chemical reactions involving a change in the spin state between reactants and products are slow. Chrétien and Metiu studied O₂ dissociation at an oxygen vacancy defect on a TiO₂(1 1 0) rutile surface [47], and they found that only when a constant spin polarization ($N_{\alpha} - N_{\beta}$) is assumed for reactant, product and transition state, the calculated activation energy is consistent with the experimental observations. In this work we have considered the different possible spin states that can be obtained by combining the triplet state of molecular O₂ with the most stable spin state obtained for each of the three reduced surfaces discussed in Section 3.1. For each surface and spin state, molecular O₂ has been adsorbed on the oxygen vacancy defect and the geometry of the system has been optimized within this fixed spin state. The resulting structures are depicted in Figs. 2–4, and the calculated O–O bond lengths, ν_{OO} stretching frequencies, net atomic charges and spin densities on selected atoms, and adsorption energies are summarized in Table 2.

3.2.1. O₂ adsorption on r-TiO₂

As in the case of O₂ adsorption on reduced rutile TiO₂(1 1 0) surface [47] the combination of O₂ triplet with the reduced anatase TiO₂(0 0 1) surface described in Section 3.1, that is also a triplet, can be a system in a singlet ($N_{\alpha} - N_{\beta} = 0$), a triplet ($N_{\alpha} - N_{\beta} = 2$) or a quintet ($N_{\alpha} - N_{\beta} = 4$) state. As shown in Fig. 2 and Table 2, not only the relative stability of the three systems, but also the optimized structures and charge and spin distributions significantly differ from one spin state to another. The most stable system which is presented at Fig. 2a is the closed shell singlet state, with all electrons paired. In this complex, each of the two oxygen atoms of O₂ is directly bonded to one of the Ti atoms in contact with the vacancy, at Ti–O distances quite similar to those existing in the oxide lattice. Due to these interactions, the (Ti–Ti)_v distance is reduced from 4.792 to 4.314 Å, and the O–O bond length increases from 1.235 to 1.391 Å. The net atomic charges indicate that all Ti atoms are equivalent Ti⁴⁺ species, and that one electron from the vacancy defect has been transferred to the O₂ fragment, which can be described as a superoxide O₂[−] species. In agreement with this assignation, the calculated ν_{OO} vibration frequency is 1065 cm^{−1}, quite close to the experimental value of 1120–1130 cm^{−1} reported for superoxide species on ceria [11,18,48]. O₂ adsorption on the vacancy defect in a triplet state is also highly exothermic, and in the optimized structure depicted in Fig. 2b one of the O atoms of the adsorbed O₂ molecule is at the vacancy site, with calculated Ti–O distances of 2.144 and 2.430 Å, and the other one is interacting strongly with one of the two Ti atoms in contact with the vacancy, with a optimized Ti–O distance of 2.103 Å. The net charge transfer to the O₂ fragment is not as large as in the singlet state but it could also be described as a superoxide species, with a calculated ν_{OO} vibration frequency of 1167 cm^{−1}. Of the two unpaired electrons of the sys-

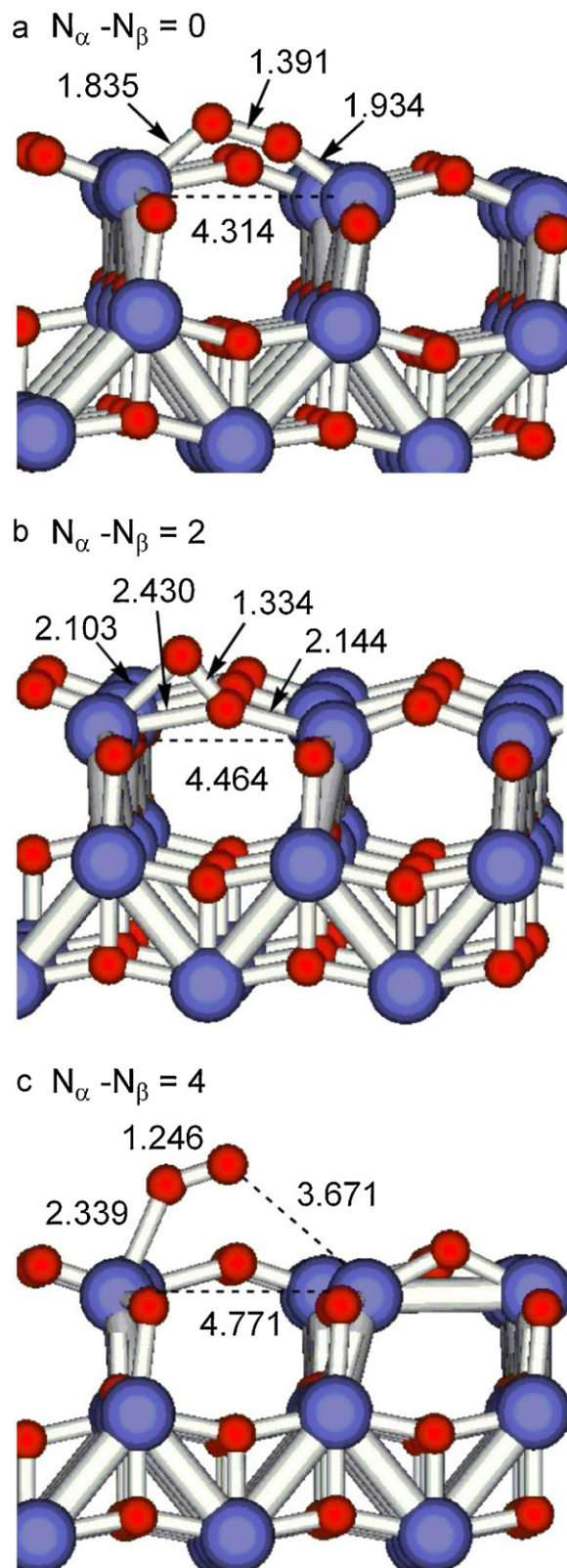


Fig. 2. Optimized structures of O₂ adsorbed on reduced (0 0 1) anatase TiO₂ surface in different spin states. Distances in Å.

tem, one is shared between the two O atoms ($\mu_O = 0.5$) and the other one is delocalized in the oxide lattice. Finally, in the quintet state, O₂ adsorbs very weakly on the reduced TiO₂ surface. As shown in Fig. 2c, one of the O atoms of molecular O₂ is interacting with one Ti atom, but the optimized Ti–O distance is long, 2.339 Å, and the O–O

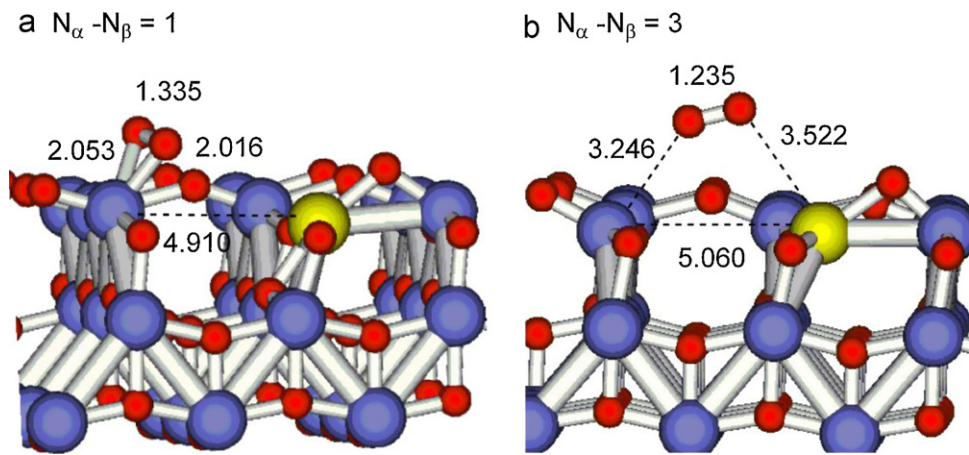


Fig. 3. Optimized structures of O₂ adsorbed on reduced Au-doped (001) anatase TiO₂ surface in different spin states. Distances in Å.

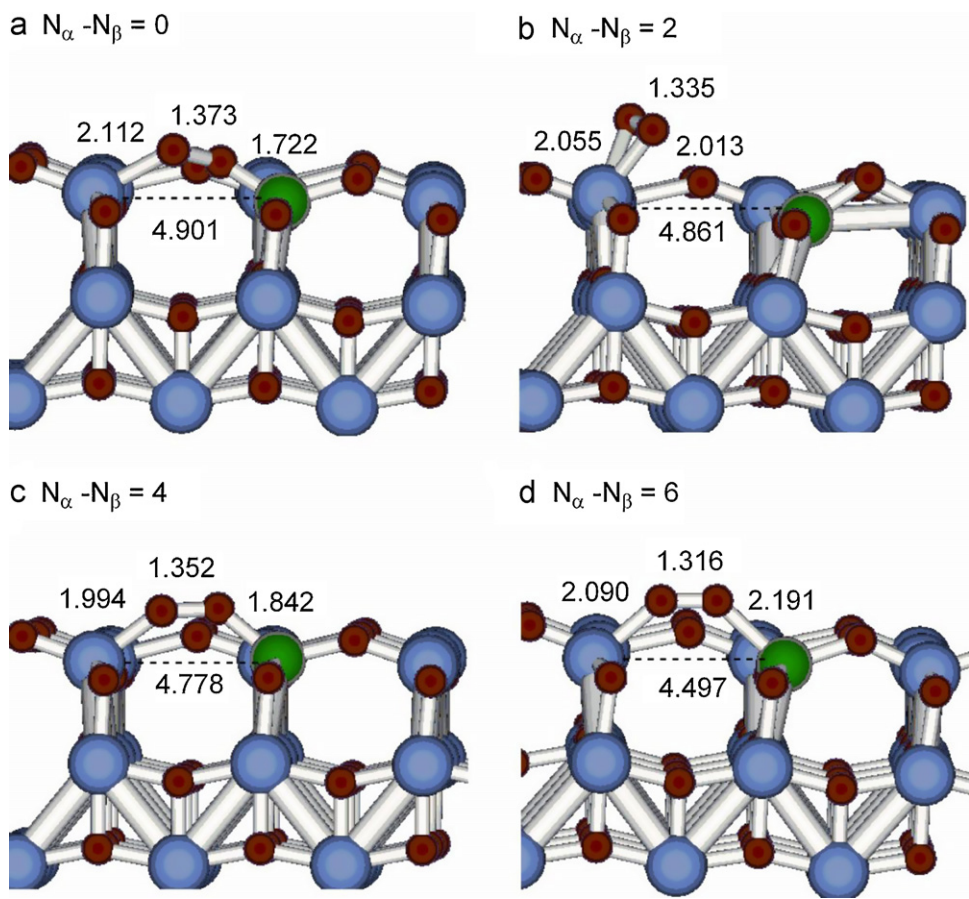


Fig. 4. Optimized structures of O₂ adsorbed on reduced Fe-doped (001) anatase TiO₂ surface in different spin states. Distances in Å.

Table 2
Calculated parameters for molecular O₂ adsorbed on reduced TiO₂, Au–TiO₂ and Fe–TiO₂ surfaces at different spin states.

$N_{\alpha} - N_{\beta}$	TiO ₂			Au–TiO ₂			Fe–TiO ₂		
	0	2	4	1	3	0	2	4	6
$r(\text{OO})$ (Å)	1.391	1.334	1.246	1.335	1.235	1.373	1.335	1.350	1.316
$\nu(\text{OO})$ (cm ^{−1})	1065	1167	1422	1188	1561	898	1187	1047	1195
$q\text{O}_M$ (e)	−0.48	−0.27	0.01	−0.25	−0.02	−0.44	−0.24	−0.43	−0.25
$q\text{O}_{\text{Ti}}$ (e)	−0.53	−0.39	−0.13	−0.27	0.01	−0.30	−0.28	−0.34	−0.34
$q\text{O}_2$ (e)	−1.01	−0.66	−0.12	−0.52	−0.01	−0.74	−0.52	−0.78	−0.59
$q\text{M}$ (e)	2.13	2.12	1.93	1.22	0.92	1.52	1.45	1.67	1.65
$q\text{Ti}_{\text{av}}$ (e)	2.16	2.14	2.14	2.15	2.15	2.14	2.14	2.13	2.13
μ_{M}	–	0.00	0.70	0.00	0.47	–	2.53	3.13	3.91
E_{ads} (eV)	−3.88	−2.57	−0.14	−1.16	−0.09	−1.13	−1.38	−1.67	−1.43

and (Ti–Ti)_v distances in the adsorption complex are almost equivalent to those obtained for the isolated O₂ molecule, 1.235 Å, and r-TiO₂ surface. The same image arises when analyzing the charge and spin distributions. Two of the four unpaired electrons of the system are localized on the O₂ fragment, that can thus be described as O₂ molecule in its triplet state. The net charge and spin density on the non-interacting Ti atom are 1.93 and 0.7, respectively, indicating that it exists as Ti³⁺, while the other unpaired electron of the system is again delocalized in the oxide lattice, as was found for the reduced r-TiO₂ surface without adsorbate.

3.2.2. O₂ adsorption on r-Au–TiO₂

For O₂ adsorption on the reduced Au-doped anatase TiO₂(001) surface only two different spin states have been considered, a doublet state with only one unpaired electron ($N_{\alpha} - N_{\beta} = 1$) and a quartet state with $N_{\alpha} - N_{\beta} = 3$. The calculated adsorption energies listed in Table 2 are considerably lower than those obtained on the r-TiO₂ surface and, as depicted in Fig. 3, O₂ does not directly interact with the Au atom. The reason is that O₂ adsorption always involves a certain degree of electron density transfer from the oxide surface, and this is more difficult in the presence of Au atoms, whose electronegativity is larger than that of Ti. In fact, the finding that formation of an oxygen vacancy defect in the Au-doped surface is exothermic is indicative of the low tendency of Au to directly bind to more than four oxygen atoms.

In the most stable adsorption complex, corresponding to a doublet state, the two atoms of the O₂ molecule are directly bonded to the Ti atom located at the vacancy, that thus completes its coordination sphere, and the local environment around the Au atom is not modified, as shown in Fig. 3a. The net charge on the adsorbed O₂ molecule is –0.5 e, and the increase in the OO distance and the corresponding shift in the calculated ν_{OO} vibration frequency are slightly lower than those obtained for O₂ adsorption on the r-TiO₂ surface in the triplet state. On the other hand, the interaction of O₂ with the r-Au–TiO₂ surface considering a quartet state is negligible. The calculated adsorption energy is less than –0.1 eV, the optimized structure depicted in Fig. 3b shows an undistorted O₂ molecule placed almost parallel to the surface and at distances larger than 3.2 Å from any surface atom, the ν_{OO} vibration frequency value is equivalent to that obtained for the isolated molecule, 1561 cm^{–1}, and the charge and spin distributions reflect a situation in which a neutral O₂ molecule in its triplet state is not interacting with the reduced Au-doped TiO₂ surface described in Section 3.1.

Previous theoretical studies about oxygen vacancy formation in CeO₂ have considered that, since formation of the first vacancy is exothermic, the catalytic activity of the oxide will depend on the energy cost to form a second oxygen vacancy [20,21]. The energy necessary to form a second oxygen vacancy defect in our r-Au–TiO₂ model is 2.57 eV, as high as that obtained for formation of the first vacancy in stoichiometric TiO₂, and therefore we have not further investigated this process. Other authors have studied the stability of vacancies formed by removing one O atom that was not directly bonded to the dopant atom [30]. Although this possibility is interesting, it has not been explored now, and we have only focused on the formation of one vacancy defect in the vicinity of the dopant atom. In this context, the results presented in this work seem to indicate that doping of anatase TiO₂ with Au is not an effective way of increasing the oxidation activity of titania catalysts, and that the active species in Au/TiO₂ systems are, as is generally accepted, neutral or slightly positively charged Au atoms placed at the interface between the nanoparticle and the support, but not in the oxide lattice substituting Ti atoms.

3.2.3. O₂ adsorption on r-Fe–TiO₂

To describe the interaction of molecular O₂ in its triplet state with the reduced Fe-doped anatase TiO₂(001) surface, that has four

unpaired electrons, it has been necessary to consider four different possible spin states with $N_{\alpha} - N_{\beta} = 0, 2, 4$ and 6. Despite the large variation in the total number of unpaired electrons in the system, the calculated adsorption energies given in Table 2 differ in less than 0.6 eV, and the optimized structures depicted in Fig. 4, with the exception of that corresponding to the triplet state (Fig. 4b), show a similar way of coordination of O₂ to the oxide surface. The most stable adsorption complex is the quintet state depicted in Fig. 4c, with a total of four unpaired electrons. O₂ molecule adsorbs on the vacancy, and each of its two oxygen atoms is directly bonded to either Fe or the Ti atom in contact with the vacancy, with calculated Fe–O and Ti–O distances similar to those existing in the oxide lattice. As a result of these interactions, the O–O distance increases to 1.352 Å, and the Fe–Ti distance across the vacancy is reduced by 0.153 Å. If the optimized geometry of the system with six unpaired electrons, that is only 0.24 eV less stable, is analyzed, similar in nature but slightly weaker interactions are observed between O₂ and the surface. That is, the Ti–O and Fe–O distances are longer, and the O–O bond length is shorter. The charge and spin density distributions in the quintet and heptet states are also similar but not completely equivalent. O₂ adsorption involves a transfer of electron density from the oxide surface to molecular O₂, that becomes negatively charged by –0.8 and –0.6 e in the quintet and heptet states, respectively. Accordingly, the calculated ν_{OO} vibration frequencies are 1047 and 1195 cm^{–1}, respectively, and could be related to superoxide species. At the same time, the net charge on the Fe atom increases from 1.37 e in the reduced surface to 1.65–1.67 e, suggesting that it has been reoxidized from Fe²⁺ to Fe³⁺. The largest difference between the two spin states that are being discussed now is found in the spin density distribution. In the quintet state, three of the four unpaired electrons are fully localized on the Fe atom and the other one is delocalized in the lattice. However, in the system with 6 unpaired electrons, there are four electrons fully localized on the Fe atom, one electron shared between the two oxygen atoms of O₂, and the last one is again delocalized in the oxide lattice.

The optimized geometry of the system with two unpaired electrons is different from the others, as depicted in Fig. 4b. Molecular O₂ does not interact with the Fe atom but is coordinated to the Ti atom in contact with the vacancy, as was found for the doublet state in the Au-doped surface. This interaction causes a lengthening of the O–O bond to 1.335 Å and, accordingly, a shift in the ν_{OO} stretching mode to 1187 cm^{–1}. The charge transfer to the O₂ molecule is –0.52 e, the same value obtained for the doublet state in the Au-doped surface. A point that deserves a deeper analysis is the spin density distribution. The calculated spin density on the Fe atom is 2.53, a surprising value if we take into account that we are considering a triplet state. However, the $N_{\alpha} - N_{\beta}$ value fixes the total number of unpaired electrons in the whole system, and not the way in which they are distributed. In this case, there are 2.53 unpaired electrons localized on the Fe atom, but there is also a spin density of opposite sign of ~0.5 e on the O₂ fragment, resulting in a total of two unpaired electrons. These results reflect the tendency of Fe to be in a high spin state, and seem to indicate that fixing the total spin of the system to low values leads to a nonrealistic description of the Fe-doped TiO₂ system. The results obtained for the closed shell state with all electrons paired confirm this hypothesis. In this structure the O₂ molecule is highly activated, with a calculated OO bond length of 1.37 Å, and the ν_{OO} stretching frequency value of 898 cm^{–1}, which is the lowest among all the calculated values, could be initially related to a peroxide species. However, the degree of charge transfer from the oxide surface to O₂ is –0.74 e, similar to the values found in the case of superoxide species. Since this spin state is the less stable and the charge distribution is probably forced by the spin unpolarized calculation performed, it has not been further considered in the analysis of the results.

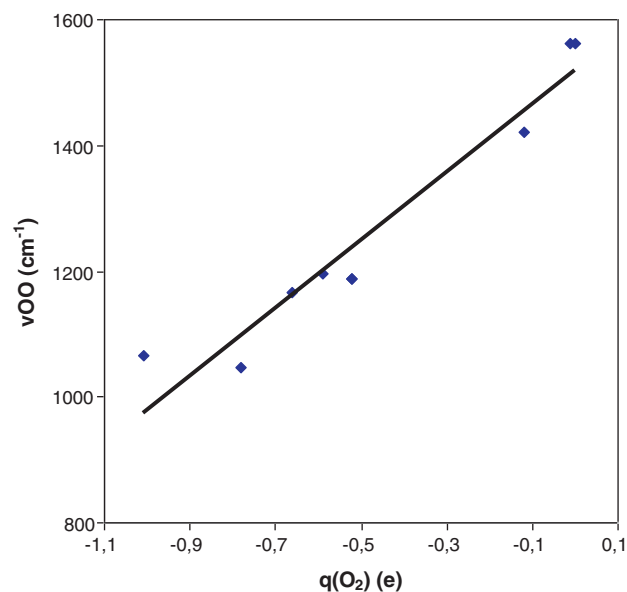


Fig. 5. Correlation between calculated ν_{OO} vibration frequencies (in cm^{-1}) and net charge on O_2 ($q(\text{O}_2)$, in e) in the complexes formed by adsorption of molecular O_2 on reduced TiO_2 , Au-TiO_2 and Fe-TiO_2 surfaces at different spin states.

3.3. Catalytic implications

In a recent theoretical study about activation of molecular O_2 on isolated and supported gold nanoparticles [49] we found that the activation energy involved in O_2 dissociation is directly related with the degree of electron density transfer from the catalyst to the π^* molecular orbital of adsorbed O_2 , and therefore with the ν_{OO} vibration frequency that reflects the strength of the O–O bond. Fig. 5 shows the correlation existing between the ν_{OO} vibration frequencies and the total charge on adsorbed O_2 in the adsorption complexes calculated in this work. Assuming that the lower the ν_{OO} frequency the lower the barrier for O_2 dissociation, it could be deduced from Table 2 that the best catalysts for O_2 dissociation are r-TiO_2 and r-Fe-TiO_2 . In both cases the spin state involving the highest degree of O_2 activation is the most stable one, that is, the singlet state for O_2 adsorbed on r-TiO_2 and the quintet state for O_2 adsorbed on r-Fe-TiO_2 . But there are several reasons pointing to a better oxidation catalytic performance of the Fe-doped system. The first one is related to the energy necessary to create the oxygen vacancies on which molecular O_2 adsorbs and is activated. Doping with Fe decreases the E_f value in ~ 1.5 eV, suggesting that the concentration of active vacancy defects might be much higher on the Fe-doped system. On the other hand, O_2 adsorption on r-TiO_2 both in the singlet state leading to the most activated complex or in the triplet state resulting in a structure in which the vacancy is healed, are very exothermic. Too stable adsorption complexes may involve high activation energies and therefore low reactivity. In this sense, work is in progress to calculate the activation and reaction energies for O_2 dissociation and vacancy healing in r-TiO_2 and r-Fe-TiO_2 .

In relation with the influence of Au doping on the oxidation activity of TiO_2 , the conclusions obtained from this study are a bit disappointing. While the activity of Au^{3+} cations towards CO oxidation dispersed in the ceria lattice as substitutional point defects has been postulated and confirmed by theory [23], the present results indicate that although formation of oxygen vacancy defects is favoured by the presence of Au atoms substituting Ti in the oxide lattice, the charge distribution in the reduced r-Au-TiO_2 surface is such that its interaction with molecular O_2 is weak and implies a really small degree of molecular activation.

4. Conclusions

The substitution of one Ti atom in the (001) surface of anatase TiO_2 by either a Au or a Fe atom, the creation of a oxygen vacancy defect in the doped and undoped surfaces, and the interaction of molecular O_2 with the reduced doped and undoped surfaces have been investigated by means of periodic density functional calculations including spin polarization and considering different spin states with a fixed number of unpaired electrons for each system considered.

As previously reported for ceria and rutile, doping of TiO_2 anatase with Au or Fe weakens the metal-oxygen bonds around the dopant, decreases the energy necessary to form an oxygen vacancy defect from 2.7 eV in TiO_2 to 1.24 eV in the Fe-doped system, and makes the reduction process exothermic in the case of Au-doped TiO_2 . Analysis of charge and spin distributions in the stoichiometric and reduced surfaces indicates that Ti^{4+} is reduced to Ti^{3+} only in the undoped material. In the presence of Au^{3+} or Fe^{3+} species, these are preferentially reduced to Au^+ and Fe^{2+} , respectively.

The interaction of molecular O_2 with the oxygen vacancies in the reduced r-TiO_2 , r-Au-TiO_2 and r-Fe-TiO_2 surfaces has been studied considering all possible different spin states arising from combination of triplet O_2 with the corresponding state of the reduced surface. It has been found that not only the calculated adsorption energies, but also the optimized structures and charge and spin distributions significantly differ from one spin state to another. O_2 adsorption on the undoped surface is highly exothermic and involves a charge transfer from the oxide surface to molecular oxygen, with a reoxidation of Ti^{3+} to Ti^{4+} and formation of a adsorbed superoxide O_2^- species. Interaction of O_2 with the vacancy defect in Au-doped TiO_2 is weak, and in the most stable complex the two atoms of molecular O_2 are directly bonded to the Ti atom in contact with the vacancy, that exists as Ti^{4+} , and not with the Au atom. The O_2 species thus formed is less activated than on undoped r-TiO_2 , suggesting that doping of anatase with Au is not an effective way of increasing the oxidation activity of titania catalysts. The most promising result has been obtained with the Fe-doped surface. O_2 adsorption involves a transfer of 0.8 e from the oxide to the molecule, that is activated as a superoxide O_2^- species, while Fe^{2+} is reoxidized to Fe^{3+} . Since the energy changes involved in the creation of the vacancy and in the subsequent O_2 adsorption and vacancy healing are not as large as those found in undoped anatase, an enhanced oxidation activity can be expected in Fe-doped TiO_2 .

Acknowledgements

We thank Consolider-Ingenio-2010 (project MULTICAT) for financial support and Red Española de Supercomputación (RES) and Centre de Càlcul de la Universitat de València for computational resources and technical assistance.

References

- [1] M. Haruta, T. Kobayashi, H. Sano, N. Yamada, Chem. Lett. (1987) 405.
- [2] M. Haruta, Catal. Today 36 (1997) 153.
- [3] M. Valden, X. Lai, D.W. Goodman, Science 281 (1998) 1647.
- [4] M.S. Chen, D.W. Goodman, Science 306 (2004) 252.
- [5] G.C. Bond, D.T. Thomson, Catal. Rev. Sci. Eng. 41 (1999) 319.
- [6] G.C. Bond, D.T. Thomson, Gold Bull. 33 (2000) 41.
- [7] M. Gasior, B. Grzybowska, K. Samson, A. Ruszel, J. Haber, Catal. Today 91 (2004) 131.
- [8] G.J. Hutchings, Gold Bull. 37 (2004) 3.
- [9] G.J. Hutchings, Catal. Today 100 (2005) 55.
- [10] S. Carrettin, P. Concepción, A. Corma, J.M. López-Nieto, V.F. Puntes, Angew. Chem. Int. Ed. 43 (2004) 2538.
- [11] J. Guzman, S. Carrettin, A. Corma, J. Am. Chem. Soc. 127 (2005) 3286.
- [12] Th. Risse, Sh.K. Shaikhtudinov, N. Nilius, M.H. Sterrer, J. Freund, Acc. Chem. Res. 41 (2008) 949.
- [13] M.M. Schubert, S. Hackenbert, A.C. van Veen, M. Muhler, V. Plzak, J. Behm, J. Catal. 197 (2001) 113.

- [14] Z.P. Liu, P. Hu, A. Alavi, *J. Am. Chem. Soc.* 124 (2002) 14470.
- [15] G.J. Wang, B. Hammer, *Top. Catal.* 44 (2007) 49.
- [16] S. Laursen, S. Linic, *J. Phys. Chem. C* 113 (2009) 6689.
- [17] Q. Fu, H. Saltsburg, M. Flytzani-Stephanopoulos, *Science* 301 (2003) 935.
- [18] J. Guzman, S. Carrettin, J.C. Fierro-Gonzalez, Y. Hao, B.C. Gates, A. Corma, *Angew. Chem. Int. Ed.* 44 (2005) 4778.
- [19] A. Migani, K.M. Neyman, F. Illas, S.T. Bromley, *J. Chem. Phys.* 131 (2009) 064701.
- [20] M. Nolan, V. Soto, H. Metiu, *Surf. Sci.* 602 (2008) 2734.
- [21] M. Nolan, *J. Chem. Phys.* 130 (2009) 144702.
- [22] M. Baron, O. Bondarchuck, D. Stacchiola, S. Shaikhutdinov, H.J. Freund, *J. Phys. Chem. C* 113 (2009) 6042.
- [23] M.F. Camellone, S. Fabris, *J. Am. Chem. Soc.* 131 (2009) 10473.
- [24] V. Shapovalov, H. Metiu, *J. Catal.* 245 (2007) 205.
- [25] M. Nolan, *J. Phys. Chem. C* 113 (2009) 2425.
- [26] Z. Yang, T.K. Woo, K. Hermansson, *J. Chem. Phys.* 124 (2006) 224704.
- [27] D.A. Andersson, S.I. Simak, N.V. Skorodumova, I.A. Abrikosov, B. Johansson, *Appl. Phys. Lett.* 90 (2007) 031909.
- [28] J. Haber, P. Nowak, R.P. Socha, P. Zurek, *Polish J. Chem.* 82 (2008) 1753.
- [29] J. Haber, P. Nowak, P. Zurek, *Catal. Lett.* 126 (2008) 43.
- [30] S. Chrétien, H. Metiu, *Catal. Lett.* 107 (2006) 143.
- [31] A. Roldán, M. Boronat, A. Corma, F. Illas, *J. Phys. Chem. C* 114 (2010) 6511.
- [32] S. Carrettin, Y. Hao, V. Aguilar-Guerrero, B.C. Gates, S. Trasobares, J.J. Calvino, A. Corma, *Chem. Eur. J.* 13 (2007) 7771.
- [33] S. Carrettin, P. Mc Morn, P. Johnston, K. Griffin, C.J. Kiely, G. Hutchings, *J. Phys. Chem. Chem. Phys.* 5 (2003) 1329.
- [34] J.D. Grunwaldt, M. Maciejewski, O.S. Becker, P. Fabrizioli, A. Baiker, *J. Catal.* 186 (1999) 458.
- [35] M. Maciejewski, P. Fabrizioli, J.D. Grunwaldt, O.S. Becker, A. Baiker, *Phys. Chem. Chem. Phys.* 3 (2001) 3846.
- [36] J.P. Perdew, J.A. Chevary, S.H. Vosko, K.A. Jackson, M.R. Pederson, D.J. Singh, C. Fiolhais, *Phys. Rev. B* 48 (1993) 4978.
- [37] J.P. Perdew, Y. Wang, *Phys. Rev. B* 45 (1992) 13244.
- [38] P.E. Blöchl, *Phys. Rev. B* 50 (1994) 17953.
- [39] G. Kresse, J. Furthmüller, *Phys. Rev. B* 54 (1996) 11169.
- [40] G. Kresse, J. Hafner, *Phys. Rev. B* 47 (1993) 558.
- [41] E. Sanville, S.D. Kenny, R. Smith, G. Henkelman, *J. Comp. Chem.* 28 (2007) 899.
- [42] G. Henkelman, A. Arnaldsson, H. Jónsson, *Comput. Mater. Sci.* 36 (2006) 254.
- [43] M.V. Ganduglia-Pirovano, A. Hoffmann, J. Sauer, *Surf. Sci. Rep.* 62 (2007) 219.
- [44] H. Metiu, *J. Chem. Phys.* 128 (2008) 182501.
- [45] E. Finazzi, C. di Valentin, G. Pacchioni, A. Selloni, *J. Chem. Phys.* 129 (2008) 154113.
- [46] H.G. Yang, C.H. Sun, S.Z. Qiao, J. Zou, G. Liu, S.C. Smith, H.M. Cheng, G.Q. Lu, *Nature* 453 (2008) 638.
- [47] S. Chrétien, H. Metiu, *J. Chem. Phys.* 129 (2008) 074705.
- [48] Y.M. Choi, H. Abernathy, H.T. Chen, M.C. Lin, M. Liu, *ChemPhysChem* 7 (2006) 1957.
- [49] M. Boronat, A. Corma, *Dalton Trans.* (2010), doi:10.1039/C002280B.